

Simulations of Flocking Problem with Saturation and Feedback Delays

Taylor Johnson
GE525 - Spring 2009
May 15, 2009

Abstract—The flocking problem has been studied for some time, and is thought to be a good way to perform distributed control for swarms of multi-agent systems, such as UAVs. The majority of these studies have not placed real-world limitations on the distributed system that would be experienced. For example, delays and asynchrony due to wireless network transmission of state information and limited actuator operating range are infrequently analyzed. This project studies through simulation the flocking problem as a group of particles with double integrator dynamics moving from some initial condition towards a non-stationary objective, analyzing stability (convergence to a flock and convergence to the waypoint) while comparing a variety of real-world constraints: *a*) with and without state (velocity) saturation, *b*) with and without actuator saturation, *c*) delayed state feedback, and *d*) combinations of these. The future work section presents a hybrid system model being investigated for the formal analysis of these systems in the presence of another real-world constraint, failures.

I. INTRODUCTION

Coordinated movement of multi-agent systems has been studied in detail for some time [1] [2], often with a focus on determining controls that lead to what is termed flocking [3] or cooperative behavior [4] [5]. Flocking behavior is defined as a group of agents with individual control laws eventually reaching an equidistant spacing between themselves, and is found in nature as in schools of fish [6], flocks of birds [7], particles [8], and more generally [9].

The work of Reynolds [10] established three general rules that are necessary for flocking behavior to emerge in these systems, 1) “Collision Avoidance: avoid collisions with nearby flockmates” 2) “Velocity Matching: attempt to match velocity with nearby flockmates” 3) “Flock Centering: attempt to stay close to nearby flockmates”. Looking at these rules, it is first necessary to analyze the term “nearby”. For flocks and herds found in nature, nearby could be determined by the individual agents through sensory mechanisms, such as sight, sound, smell (maybe pheromones), and touch. For an engineered system, such natural sensory information could be determined from one agent to another by radar, laser radar, computer vision systems, etc. Alternatively, agents could tell one another over a wireless network about where they believe they are located, such as sharing information from global positioning system (GPS) state or cellular triangulation. Real systems would likely

use a combination of these sensory mechanisms, but all interesting cases are dependent upon agents being physically near one another, so to this end, we consider a broad definition of nearby as agents being within some *communications radius*, r_{comm} , of one another.

Having defined nearby, the collision avoidance and flock centering rules of Reynolds necessitate some attractive and repulsive force between nearby agents that causes them to become equidistantly spaced. There are a variety of such forces that arise in nature, such as the Lennard-Jones potential, which is a combination of the attractive van der Waals force and the repulsive Pauli force between molecules [11] as seen in Figure 1. Velocity consensus is also necessary to maintain flocking behavior over time, and in addition, it has been shown in [3] that in a system without leaders, all nodes need to have a notion of what the goal or objective is to prevent regular fragmentation, as in their *Algorithm 2* which we will analyze in detail in Section II.

II. SYSTEM MODEL

For the system model, we are closely following the work of [3]. The system is composed of N agents or nodes, each represented as a vertex in a set of vertices defined $V \subset \mathbb{N} = \{0 \dots N\}$, of a graph $G := (V, \varepsilon)$, where the edges are defined as $\varepsilon \subseteq \{(i, j) : i, j \in V, j \neq i\}$. The state-space representation of node $i \in V$ is $\dot{q}_i = p_i$, $\dot{p}_i = u_i$, where $q_i, p_i, u_i \in \mathbb{R}^m$, and q_i represents position, p_i represents velocity, and u_i represents acceleration, or proportionally, force. We denote the matrix of positions

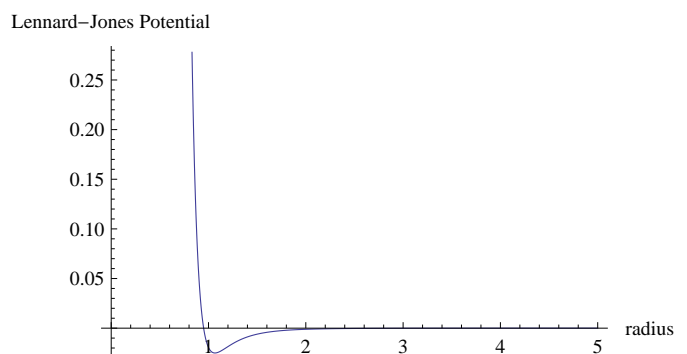


Fig. 1. Lennard-Jones Potential

of all N agents as $q \in \mathbb{R}^{m \times N}$, velocities as $p \in \mathbb{R}^{m \times N}$, and controls as $u \in \mathbb{R}^{m \times N}$.

The notion of nearby as flockmates being within some communications radius of one another gives rise to a definition of the *communications neighbors* of agent i as $N_c(i, q) := \{j \in V : \|q_j - q_i\| < r_{comm}\}$. Each agent computes its own set of communications neighbors. Similarly, the definition of a flock as agents being equidistantly spaced gives rise to the definition of a flock as an α -lattice as the solutions of the set of constraints $\|q_j - q_i\| = r_{lattice}, \forall j \in N_c(i, q)$. Alternatively, we could define the set of α -lattice neighbors as $N_l(i, q) := \{j \in N_c(i, q) : \|q_j - q_i\| = r_{lattice}\}$. This α -lattice definition of flocking is overly restrictive though and disallows any small perturbations in the system, so we consider a quasi α -lattice as $-\delta \leq \|q_j - q_i\| - r_{lattice} \leq \delta, \forall j \in N_c(i, q)$.

We do not need to consider any deviation from a perfect α -lattice in terms of some deviation energy, as this is required for stability proofs only, and is not used in the computation of an agent's control. Similarly, for real-world implementations in software we would not have such rigorously defined functions as in [3], so we will depart to some extent from the work of Olfati-Saber at this point. For analysis purposes and a proof of convergence to a flock, they carefully construct a continuous control. For simulations we can define the σ -norm ($\mathbb{R}^m \rightarrow \mathbb{R}_{\geq 0}$) simply as the Euclidean norm (2-norm), $\|z\|_\sigma := \sqrt{z_1^2 + \dots + z_m^2}$, instead of $\|z\|_\sigma = \frac{1}{\epsilon} \left[\sqrt{1 + \epsilon \|z\|^2} - 1 \right]$, for $\epsilon > 0$. The difference is highlighted in Figure 2 and Figure 3. Note however that we do take the same values of r_α (here r_{comm}^α) and d_α (here $r_{lattice}^\alpha$) as though they were computed using their σ -norm, as otherwise it would be hard to compare results; these specific values are given in Section III.

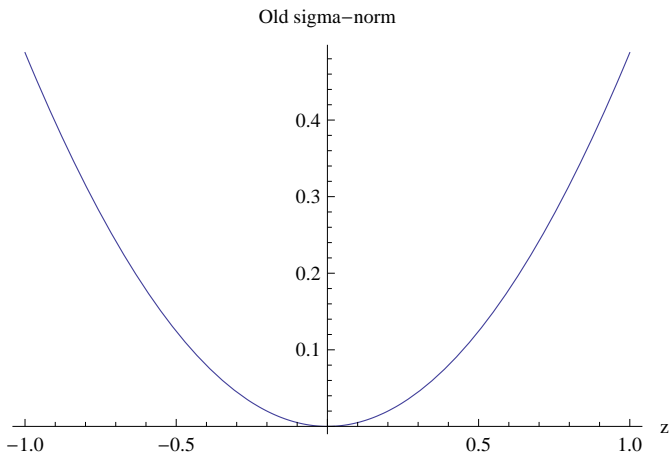


Fig. 2. Old σ -Norm

The gradient of the σ -norm, $\sigma_\epsilon(z) := \nabla \|z\|_\sigma$, is needed, and becomes $\sigma_\epsilon(z) := \frac{z}{\|z\|}$ instead of $\sigma_\epsilon(z) = \frac{z}{\sqrt{1 + \epsilon \|z\|^2}} = \frac{z}{1 + \epsilon \|z\|_\sigma}$. This is no longer differentiable at 0, but for

simulations, this does not matter.

Similarly, the C^1 -smooth bump function ρ_h can be redefined as

$$\rho_h(z) = \begin{cases} 1 & \text{if } z \in [0, h) \\ 1 - z & \text{if } z \in [h, 1] \\ 0 & \text{else} \end{cases}$$

instead of as

$$\rho_h(z) = \begin{cases} 1 & \text{if } z \in [0, h) \\ \frac{1}{2} \left[1 + \cos \left(\pi \frac{(z-h)}{(1-h)} \right) \right] & \text{if } z \in [h, 1] \\ 0 & \text{else} \end{cases}.$$

These differences are seen in Figure 4 and Figure 5.

Using these new functions, we work with the same element-wise definition of the *spatial adjacency matrix*, $A := [a_{ij}]$, as Olfati-Saber, $a_{ij}(q) = \rho_h \left(\frac{\|q_j - q_i\|_\sigma}{r_{lattice}^\alpha} \right) \in [0, 1], j \neq i$. We also use the same ϕ and ϕ_α functions, defined as $\phi(z) := \frac{1}{2} [(a+b)\sigma_1(z+c) + (a-b)]$ and $\phi_\alpha(z) := \rho_h \left(\frac{z}{r_{lattice}^\alpha} \right) \phi(z - r_{comm}^\alpha)$, where $\sigma_1(z) = \frac{z}{\sqrt{1+z^2}}$,

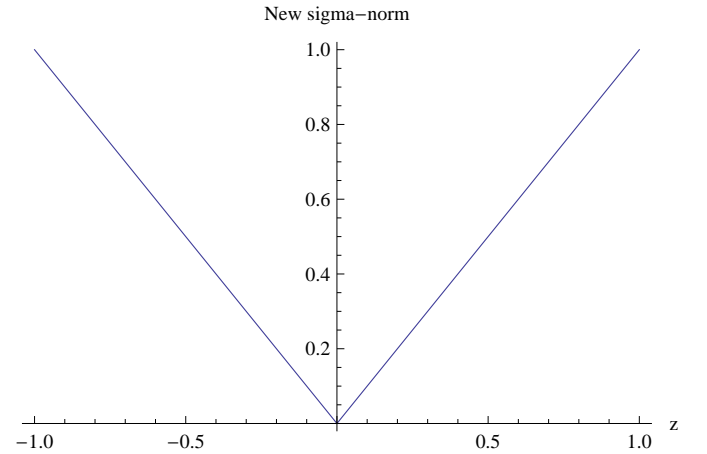


Fig. 3. New σ -Norm

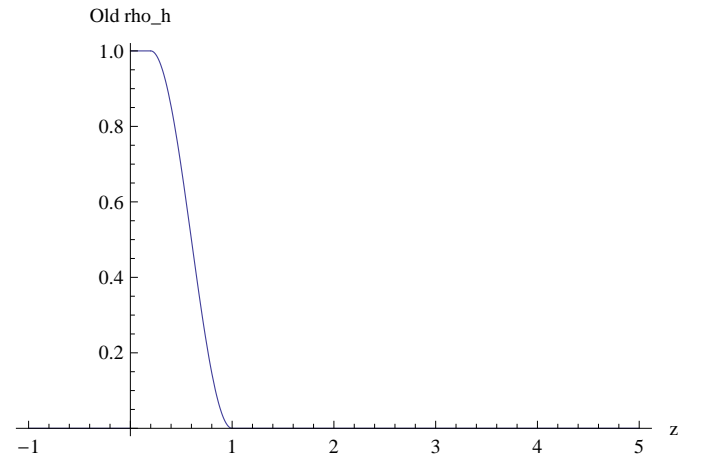


Fig. 4. Old ρ_h

$0 < a \leq b$, and $c := \frac{|a-b|}{\sqrt{4ab}}$. Note that while these are defined the same, both have changed due to them being composed of other functions that have changed. The change to ϕ is seen in Figure 6 and Figure 7 and to ϕ_α in Figure 8 and Figure 9.

We focus only on the control in the form of *Algorithm 2* presented in [3], which is defined as $u_i := u_i^\alpha + u_i^\gamma$, where $u_i^\alpha = \sum_{j \in N_c(i,q)} \phi_\alpha(\|q_j - q_i\|_\sigma) n_{ij} + \sum_{j \in N_c(i,q)} a_{ij}(q)(p_j - p_i)$ and $u_i^\gamma = f_i^\gamma(q_i, p_i, q_r, p_r) = -c_1(q_i - q_r) - c_2(p_i - p_r)$ with q_r and p_r representing the state of the goal, which may be changing, and where $n_{ij} := \frac{(q_j - q_i)}{\sqrt{1 + \epsilon\|q_j - q_i\|^2}}$, $c_1 > 0$, and $c_2 > 0$. The goal's dynamic state is modeled as a double integrator also, with state-space representation $\dot{q}_r = p_r$ and $\dot{p}_r = f_r(q_r, p_r)$. Note that we have taken $f_r(q_r, p_r) \equiv 0$, although it could be any function, such as one that sets different objectives at discrete points in time.

A. System Constraints

1) *State and Actuator Saturation*: There exists v_{max} and a_{max} , a maximum velocity and acceleration, respectively, such that, $\|\dot{q}_i\| \leq v_{max}$ and $\|\ddot{p}_i\| \leq a_{max}$. The existence of a maximum acceleration is reasonable given that it

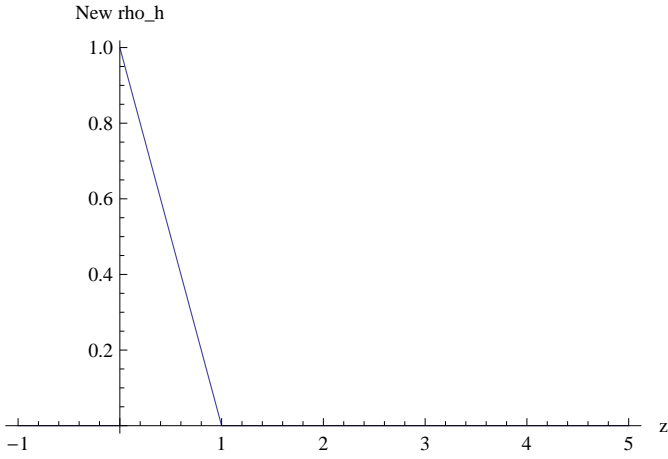


Fig. 5. New ρ_h

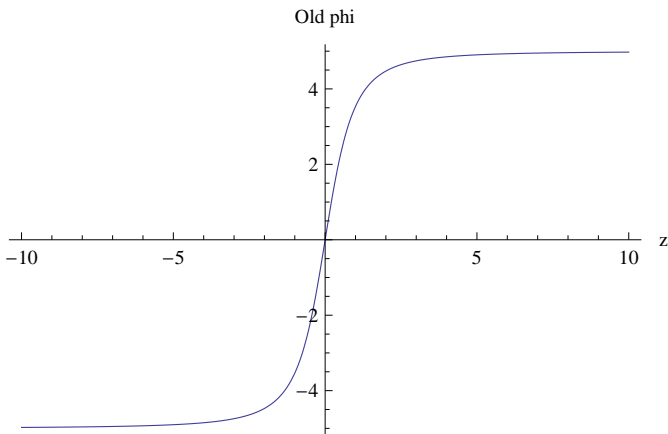


Fig. 6. Old ϕ

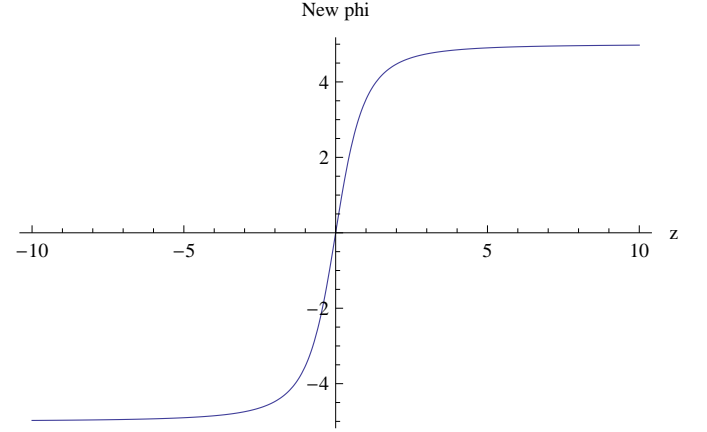


Fig. 7. New ϕ

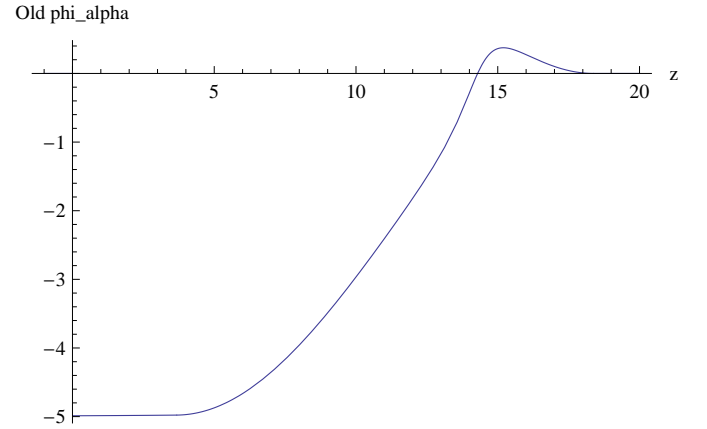


Fig. 8. Old ϕ_α

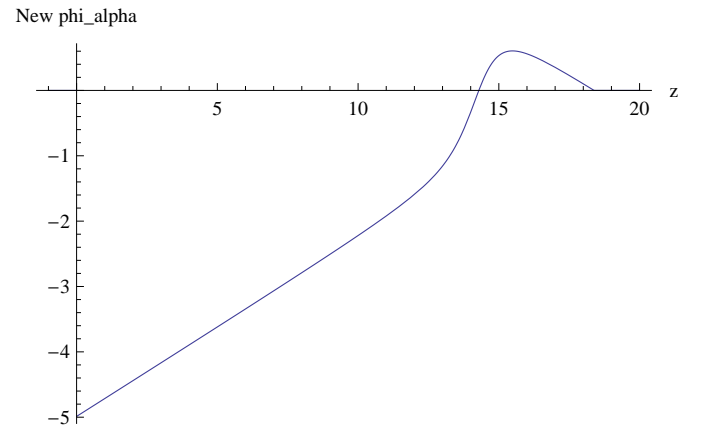


Fig. 9. New ϕ_α

would be produced by some actuator with limited operating range and power source. Note that of course the existence of a maximum acceleration does not imply the existence of a maximum velocity. However, the existence of a maximum force that can be applied as a control, which is proportional to the maximum acceleration, and in the presence of opposing forces proportional to the velocity such that $\sum F = 0$ where $F \in \mathbb{R}^m$, does imply the existence of a maximum velocity, so this is a reasonable assumption. On Earth the balancing forces could be aerodynamic resistance, whereas in space, relativistic limits could be imposed.

For the simulations in Section III, we separately present results with state and actuator saturation. In general, actuator saturation is quite interesting, as it reduces any globally asymptotically stable system to (at best) a locally asymptotically stable system. Coupled with state constraints though, the problem is even more interesting, as not all of \mathbb{R}^m is accessible by the states anyway, so all *reachable states* may be asymptotically stable nonetheless. These constraints were primarily motivated by previous work of ours on finding stabilizable regions by solving LMIs for an inverted pendulum system with limited actuator range, and with a limited set of safe states.

2) *Delayed State Feedback*: No communications in the real world can occur instantaneously, so we consider delayed feedback of state information, such as that which would be experienced by nodes transmitting their positions over a wireless network, or, of the delay necessary for local sensory information to become available. As each node computes its own control based on the positions and velocities of nodes around it at an instant of time, the control update must utilize old state information of the agents within the communications radius. There are many results in this area, but we are most familiar with the theoretical foundation in [12], where a small-gain criteria is established to prove asymptotic stability for sufficiently small delays and quantization errors.

III. SIMULATIONS

We created a simulation framework based around the *Algorithm 2* of Olfati-Saber, with the control and simulation environment designed for m -dimensional systems. We present a few samples of 1-dimensional and 3-dimensional simulations in Figures 10 to 11 and Figures 12 to 14, respectively. For all other simulations, we work in 2 dimensions ($m = 2$) with $N = 50$ agents. For all simulations except where otherwise noted, the sampling period (the time between control updates for a node) is $T_c = 0.01$ seconds. Other constants used in the above definition of the controls are $a = 5$, $b = 5$, $c = 0$, $\epsilon = 0.1$, $h = h_a = 0.2$, and $h_b = 0.9$. The communications radius is set at $r_{comm} = 8.4m$ and the lattice distance is set at $r_{lattice} = 7m$ with the quasi- α lattice constant set at $\delta = 1.4m$. The constants used to

weight the importance of the goal position and velocity in the u_i^j term are $c_1 = c_2 = 0.1$; these are not listed in the [3] paper, but have a drastic impact on the results of simulation. All nodes start from random initial positions, q_0 , located in the box $[0m, 100m]$ for each dimension, and each is represented as a *green* circle from a scatter plot with a *green* arrow representing the velocity vector from a quiver plot. If nodes are in a quasi- α lattice, the lattice will be drawn in *blue*. The objective is $q_r = [100m, 100m]$, $p_r = [\frac{v_{max}m/s}{5} \frac{v_{max}m/s}{5} m/s]$, so it is moving over time; it is represented in *red* in the plots. There is a small errata note here: we recently found a bug in the way maximum acceleration and velocity were limited—rather than the norm being limited, each dimension is limited to the maximum value, so all the results hold, just by an increase of a norm of the v_{max} and a_{max} from the values given; this also explains why the red objective arrow is often differently sized than the individual nodes.

A. Without Constraints

Before presenting results with constraints, we must first look at simulations without constraints for comparison. Figures 15 to 23 are a representative example, showing the system with 50 agents starting from random initial positions as described above, and with velocities in the range $-100m/s$ to $100m/s$. The control value, separated into components, of one node over time can be seen in Figure 24. The norm of the position value of all nodes as a function of time can be seen in Figure 25; this plot can be particularly useful if one wants to verify more stringent properties, such as two agents never coming closer than some small amount within one another (of course this occurs with this control, but with a different setup, it may not). It is also useful to look at this plot for simple convergence of nodes to the moving goal, as they form a regular spacing if they are properly in a flock.

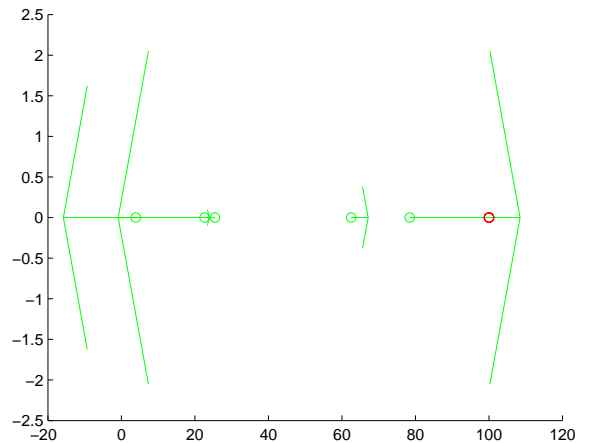


Fig. 10. $N=10$, $m=1$, Time=0s

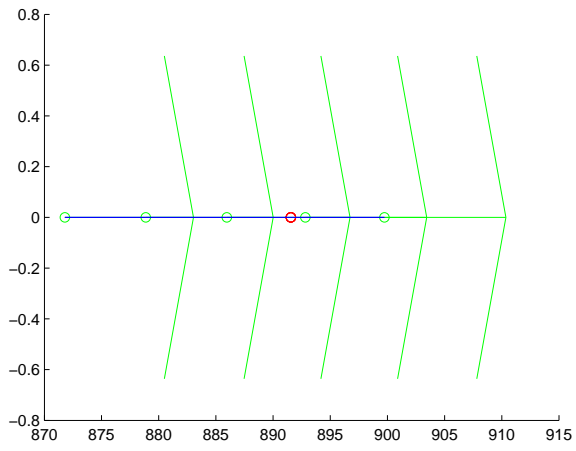


Fig. 11. $N=10, m=1, \text{Time}=32s$

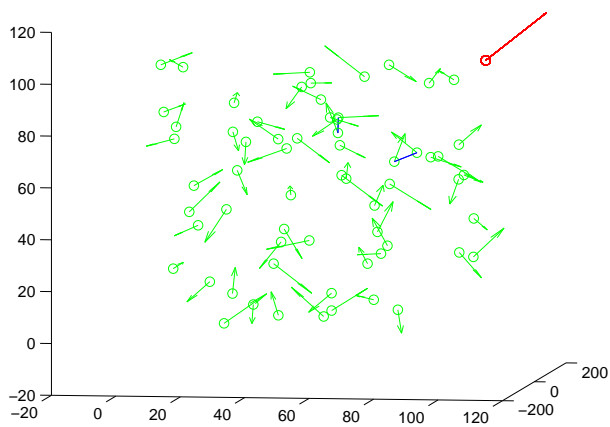


Fig. 12. $N=64, m=3, \text{Time}=0s$

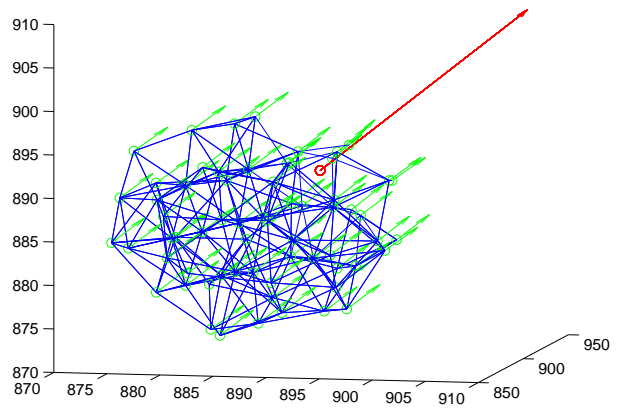


Fig. 14. $N=64, m=3, \text{Time}=36s$

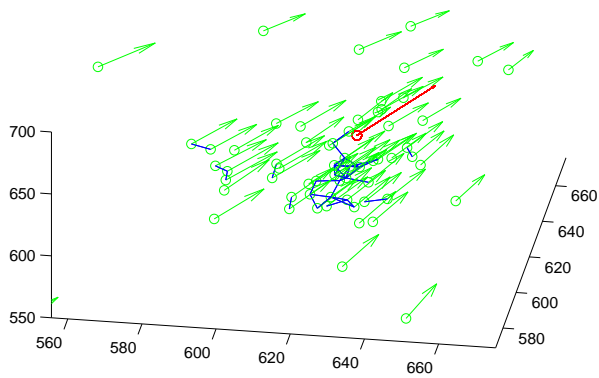


Fig. 13. $N=64, m=3, \text{Time}=24s$

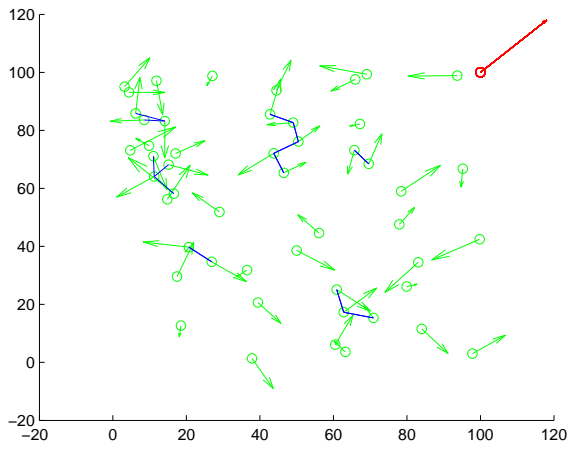


Fig. 15. $N=50, m=2, \text{Time}=0\text{s}$

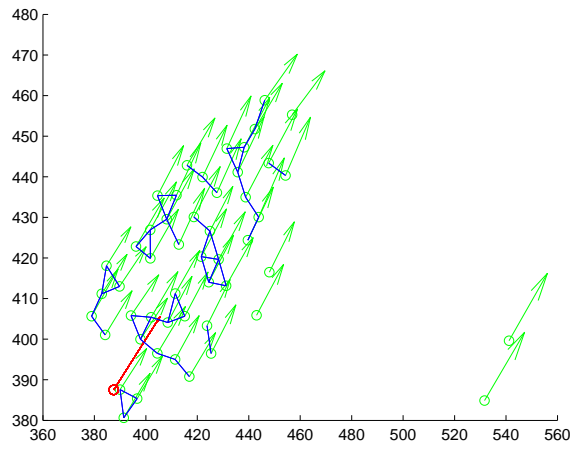


Fig. 18. $N=50, m=2, \text{Time}=12\text{s}$

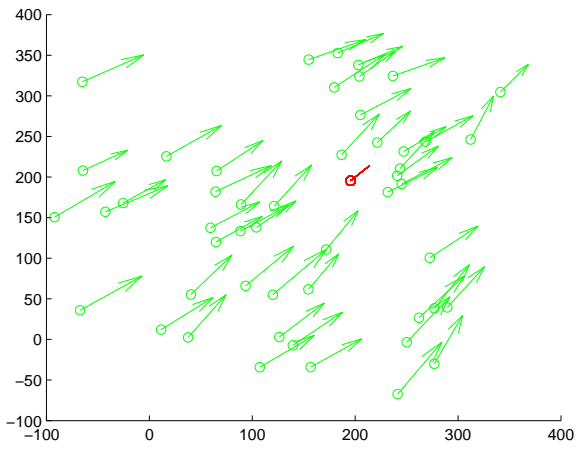


Fig. 16. $N=50, m=2, \text{Time}=4\text{s}$

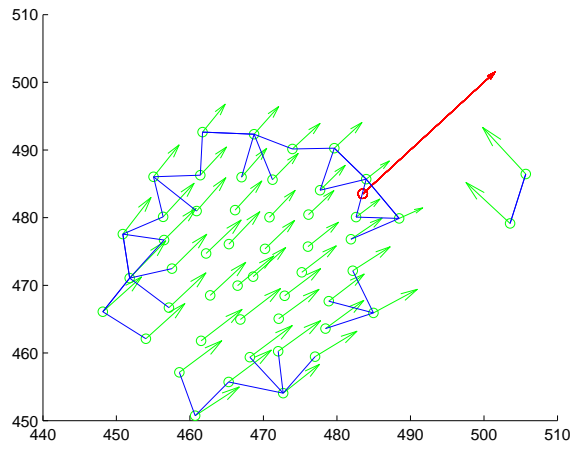


Fig. 19. $N=50, m=2, \text{Time}=16\text{s}$

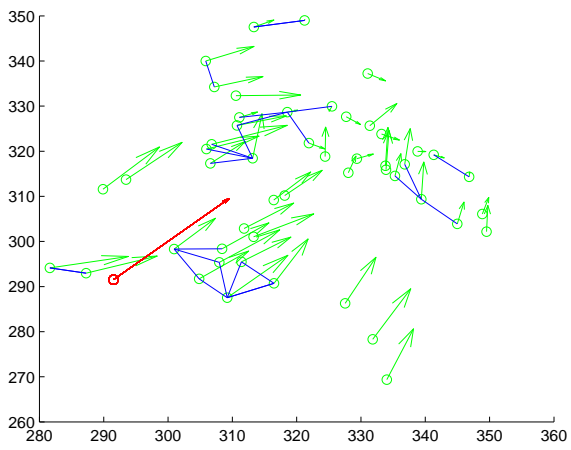


Fig. 17. $N=50, m=2, \text{Time}=8\text{s}$

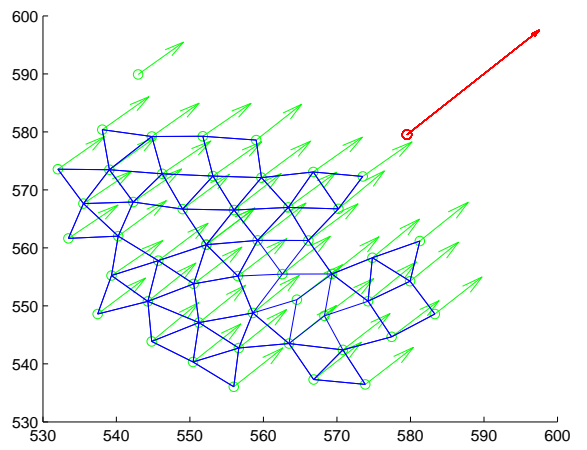


Fig. 20. $N=50, m=2, \text{Time}=20\text{s}$

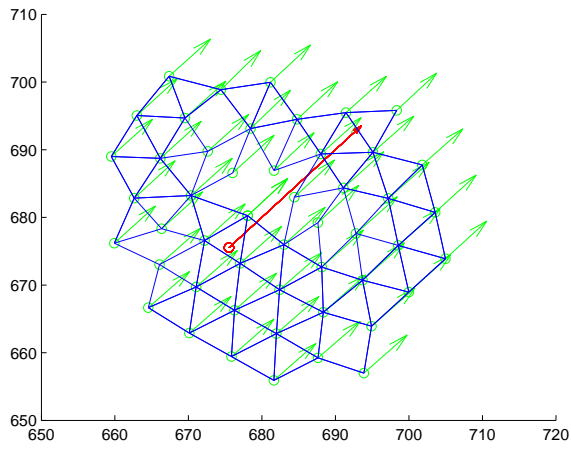


Fig. 21. $N=50$, $m=2$, Time=24s

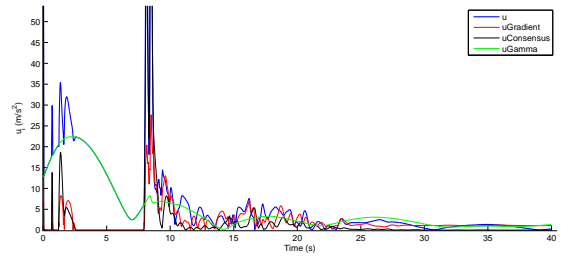


Fig. 24. $N=50$, $m=2$, Control Value of One Node over Time

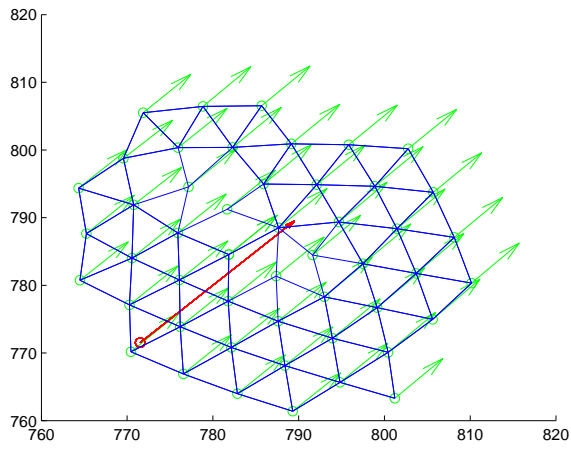


Fig. 22. $N=50$, $m=2$, Time=28s

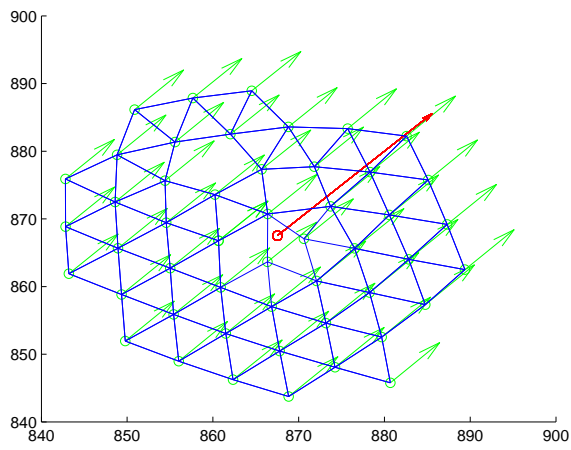


Fig. 23. $N=50$, $m=2$, Time=32s

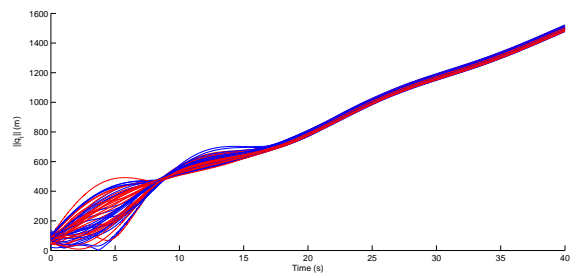


Fig. 25. $N=50$, $m=2$, Position Values of All Nodes over Time

B. State and Actuator Constraints

Many simulations with constrained state were run, but for sake of presentation we show a comparison of a low maximum velocity ($v_{max} \equiv 1m/s$) in Figures 26 to 28, and the control can be seen in Figure 29. Actuator constraint simulation with $a_{max} \equiv 1m/s^2$ can be seen in Figures 30 to 32.

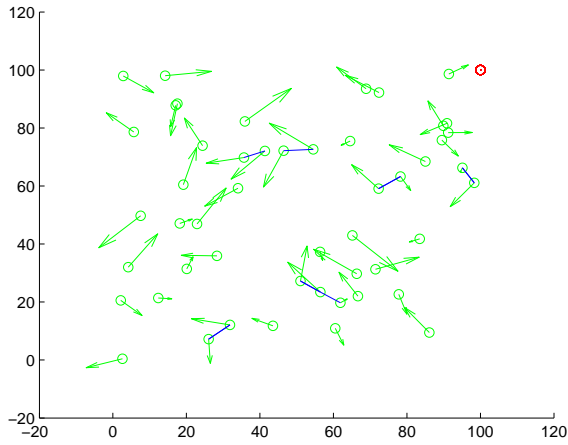


Fig. 26. $N=50, m=2, \text{Time}=0s, v_{max} = 1m/s$

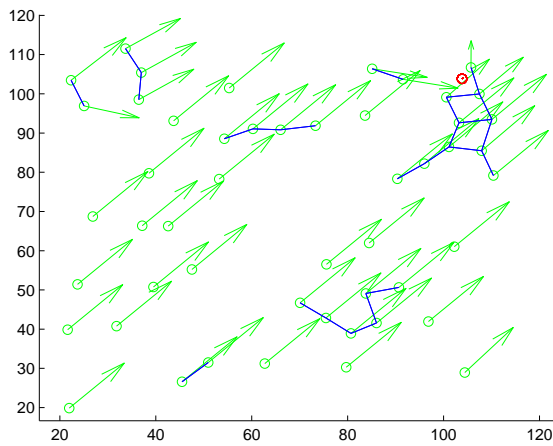


Fig. 27. $N=50, m=2, \text{Time}=16s, v_{max} = 1m/s$

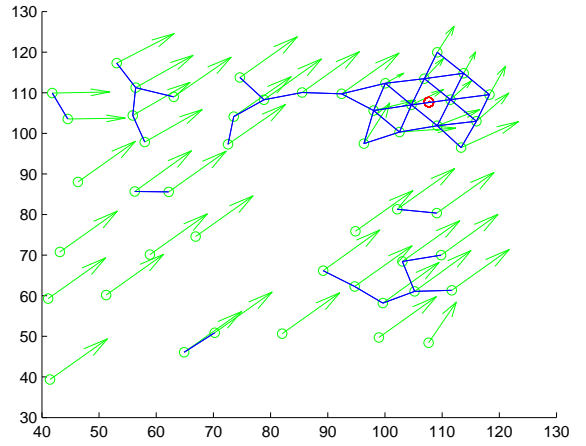


Fig. 28. $N=50, m=2, \text{Time}=32s, v_{max} = 1m/s$

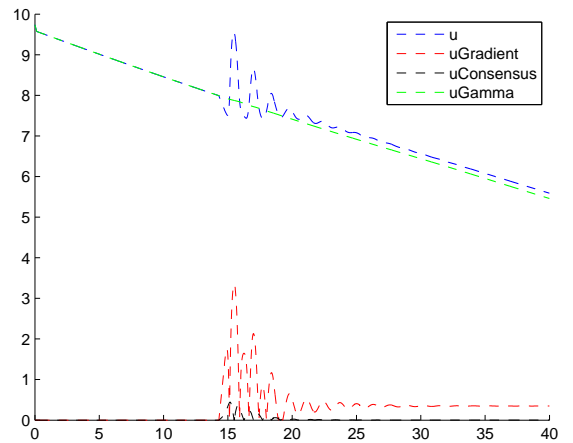


Fig. 29. $N=50, m=2, \text{Control Value of One Node over Time}, v_{max} = 1m/s$

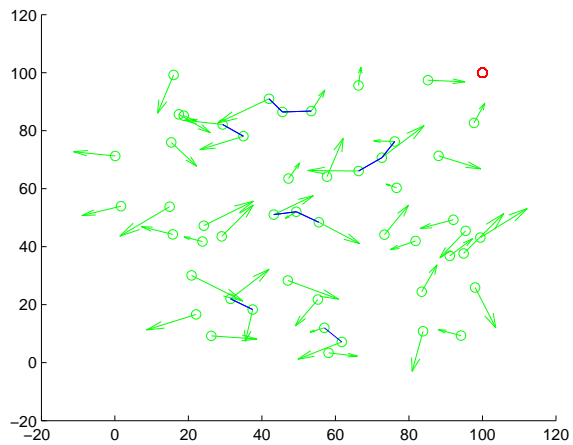


Fig. 30. $N=50$, $m=2$, $\text{Time}=0\text{s}$, $a_{max} = 1\text{m/s}^2$

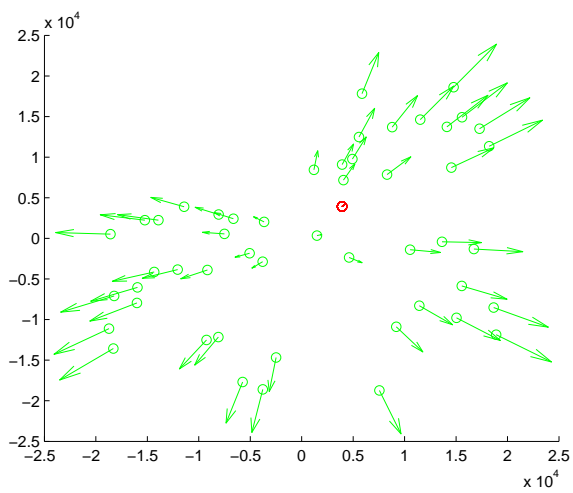


Fig. 31. $N=50$, $m=2$, $\text{Time}=16\text{s}$, $a_{max} = 1\text{m/s}^2$

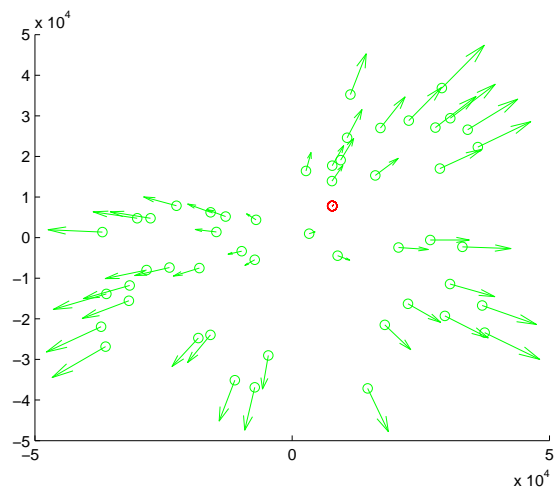


Fig. 32. $N=50$, $m=2$, $\text{Time}=32\text{s}$, $a_{max} = 1\text{m/s}^2$

C. Delayed State Feedback

Simulations with delayed state feedback, where nodes are using old state information of neighbors, is presented in Figures 33 to 40. Actuator and velocity values were constrained, although to a very reasonable set, $v_{max} = 100m/s$ and $a_{max} = 1000m/s^2$, so that the actuator could quickly respond to any state changes. The amount of delay experienced was always between one and two sampling periods (T_c to $2T_c$, where $T_c = 0.1s$).

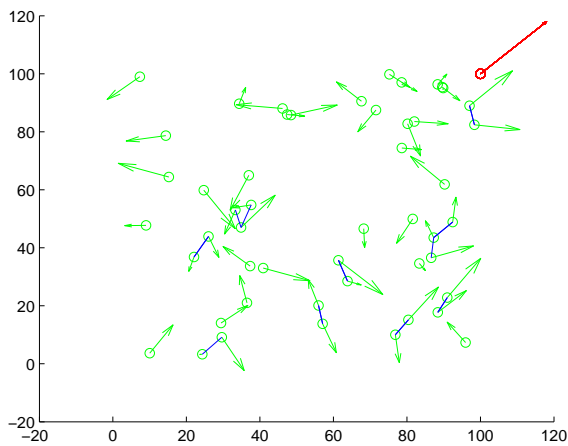


Fig. 33. $N=50, m=2, \text{Time}=0s, \text{Delayed}$

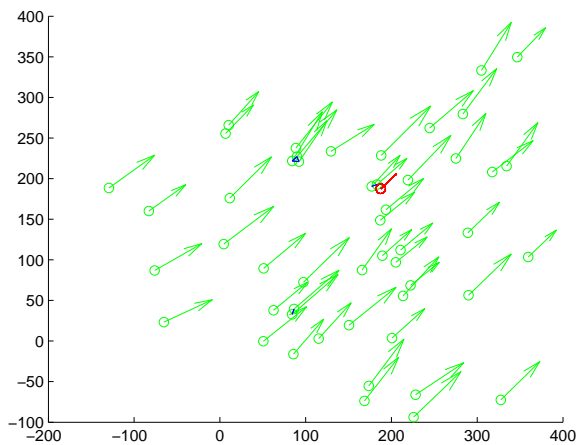


Fig. 34. $N=50, m=2, \text{Time}=4s, \text{Delayed}$

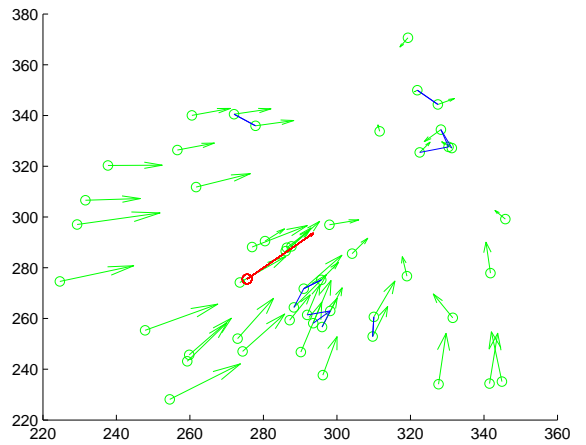


Fig. 35. $N=50, m=2, \text{Time}=8s, \text{Delayed}$

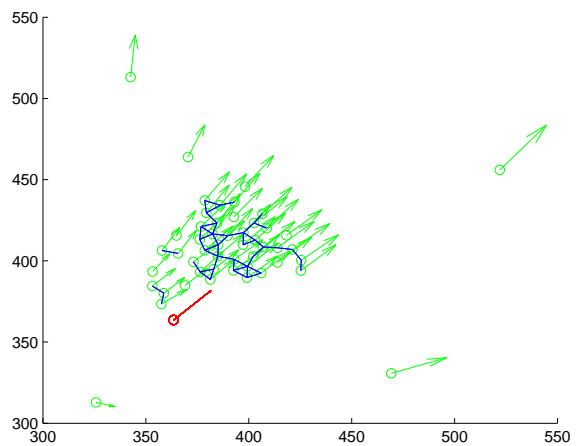


Fig. 36. $N=50, m=2, \text{Time}=12s, \text{Delayed}$

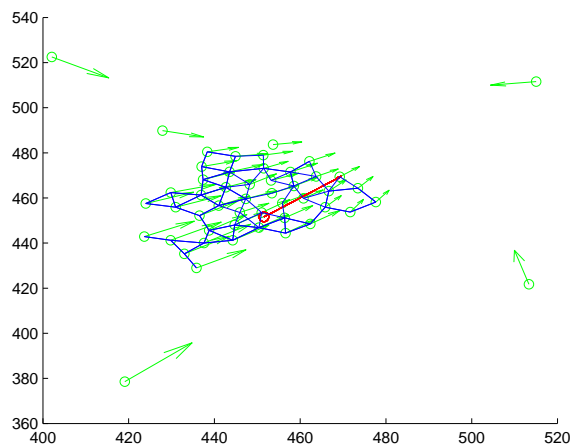


Fig. 37. $N=50, m=2, \text{Time}=16s, \text{Delayed}$

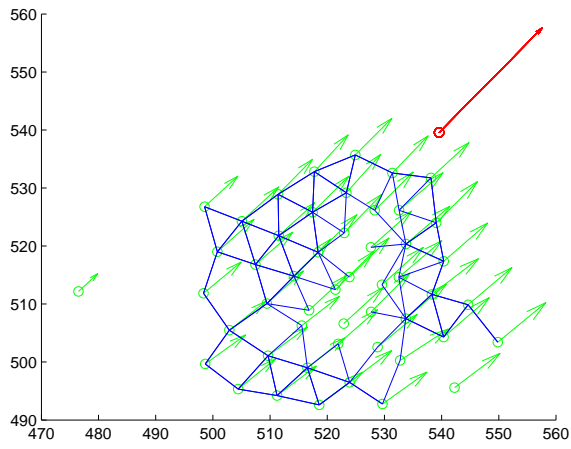


Fig. 38. $N=50$, $m=2$, Time=20s, Delayed

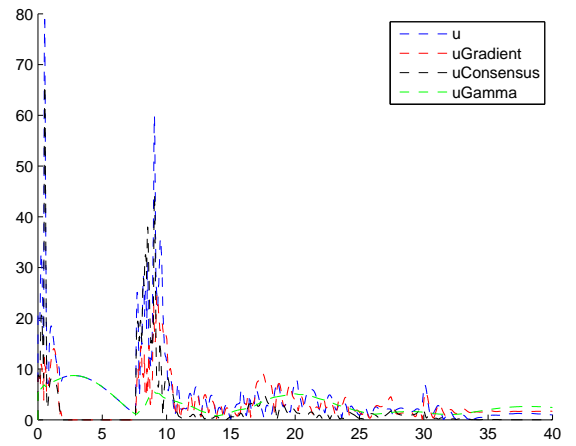


Fig. 41. $N=50$, $m=2$, Delayed, Control of One Node over Time

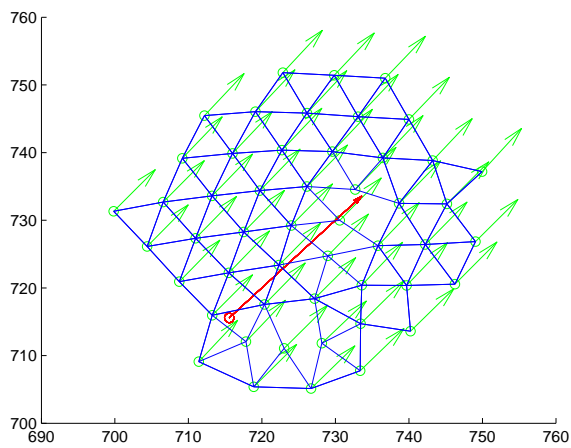


Fig. 39. $N=50$, $m=2$, Time=28s, Delayed

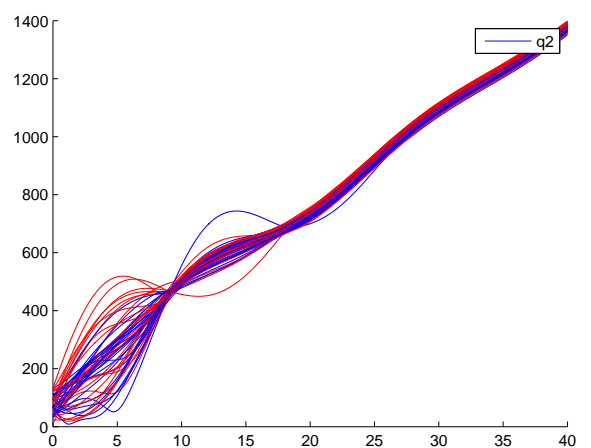


Fig. 42. $N=50$, $m=2$, Delayed, Position of All Nodes over Time

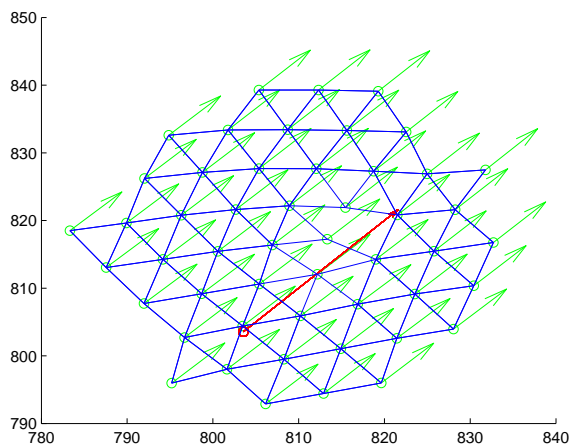


Fig. 40. $N=50$, $m=2$, Time=32s, Delayed

D. Combinations of Constraints

Simulation with $v_{max} = 100m/s$ and $a_{max} = 100m/s^2$ can be seen in Figures 43 to 47, with the control in Figure 48.

Finally, simulation with $v_{max} = 100m/s$, $a_{max} = 100m/s^2$, in the presence of delays and asynchronous control updates (each node updates at a different time with a different sampling time, T_c in the range $0.1s$ to $0.2s$, using values of other nodes in the past, from $0.1s$ old to $0.2s$) can be seen in Figures 49 to 50, with a detail of the final time in 51, with the control in Figure 52, and the position of all nodes over time in Figure 53.

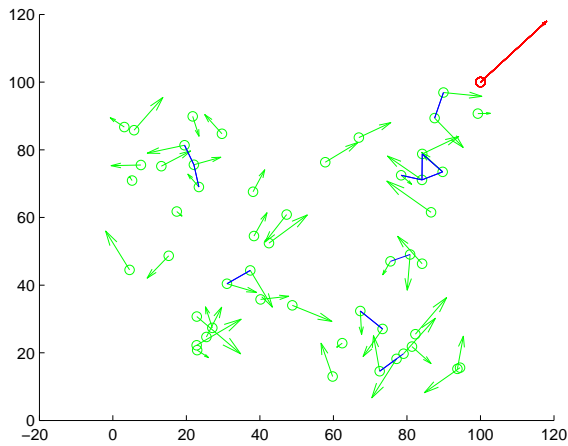


Fig. 43. $N=50$, $m=2$, $\text{Time}=0s$, $v_{max} = 100m/s$, $a_{max} = 100m/s^2$

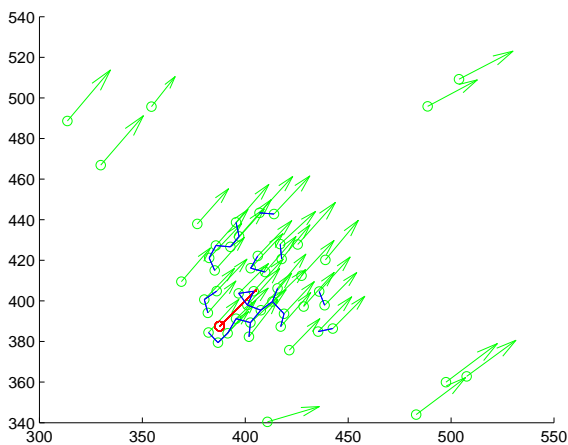


Fig. 44. $N=50$, $m=2$, $\text{Time}=12s$, $v_{max} = 100m/s$, $a_{max} = 100m/s^2$

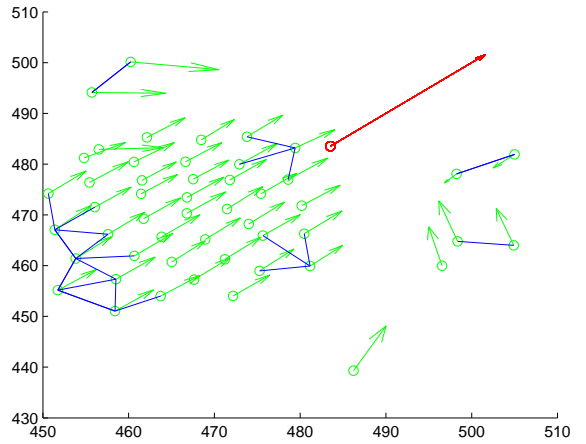


Fig. 45. $N=50$, $m=2$, $\text{Time}=16s$, $v_{max} = 100m/s$, $a_{max} = 100m/s^2$

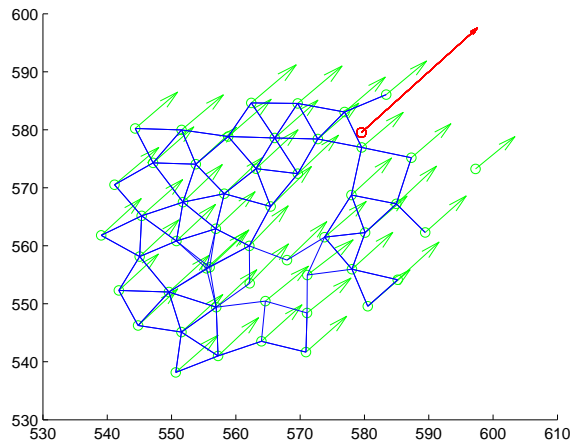


Fig. 46. $N=50$, $m=2$, $\text{Time}=20s$, $v_{max} = 100m/s$, $a_{max} = 100m/s^2$

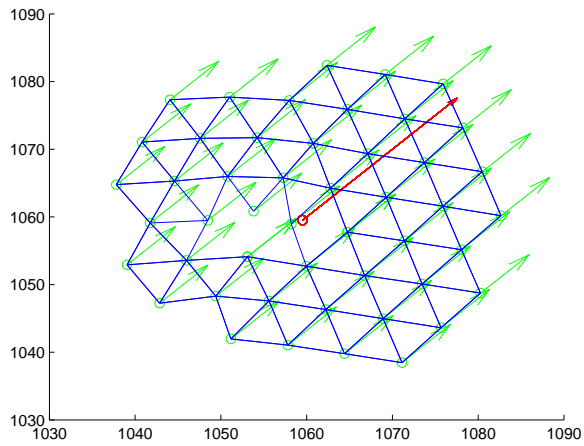


Fig. 47. $N=50, m=2, \text{Time}=32s, v_{max} = 100m/s, a_{max} = 100m/s^2$

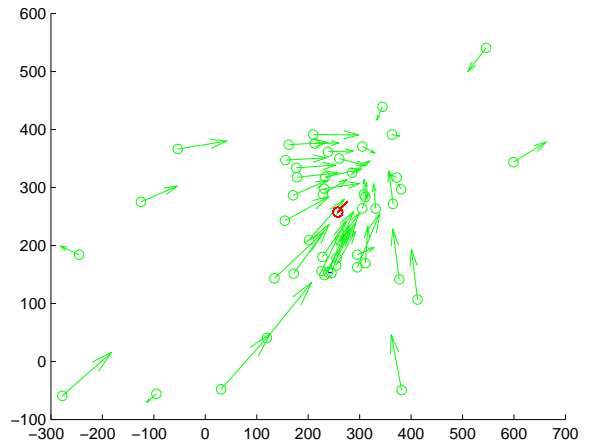


Fig. 49. $N=50, m=2, \text{Time}=8s, v_{max} = 100m/s, a_{max} = 100m/s^2, \text{Delayed, Asynchronous}$

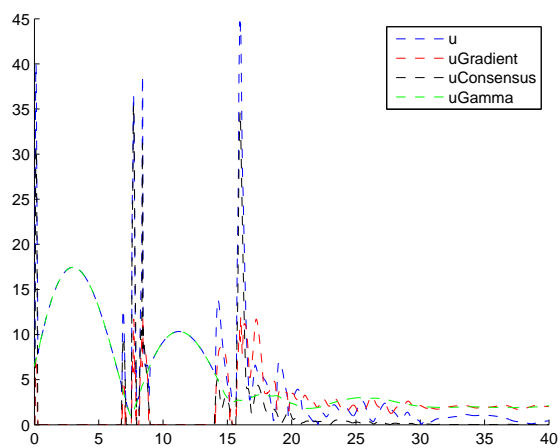


Fig. 48. $N=50, m=2, \text{Control Value of One Node over Time}, v_{max} = 100m/s, a_{max} = 100m/s^2$

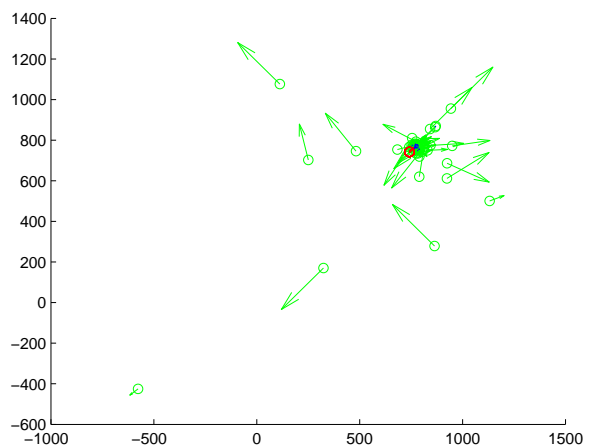


Fig. 50. $N=50, m=2, \text{Time}=32s, v_{max} = 100m/s, a_{max} = 100m/s^2, \text{Delayed, Asynchronous}$

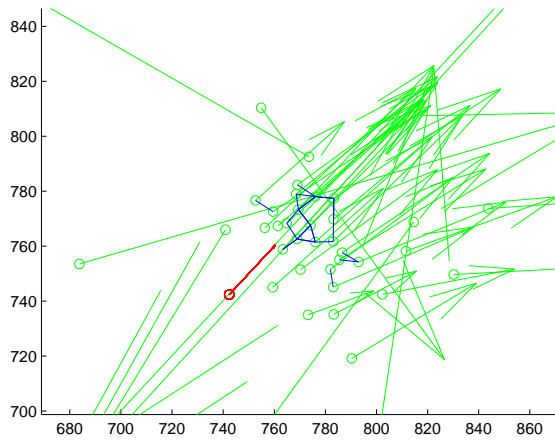


Fig. 51. $N=50$, $m=2$, Time=32s, $v_{max} = 100m/s$, $a_{max} = 100m/s^2$, Delayed, Asynchronous (Detail)

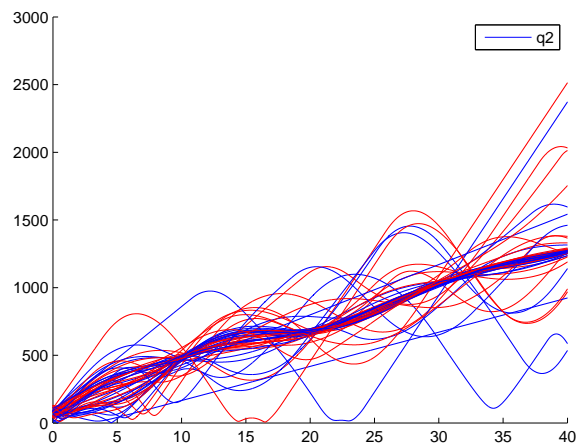


Fig. 53. $N=50$, $m=2$, Position Values of All Nodes over Time, $v_{max} = 100m/s$, $a_{max} = 100m/s^2$, Delayed, Asynchronous

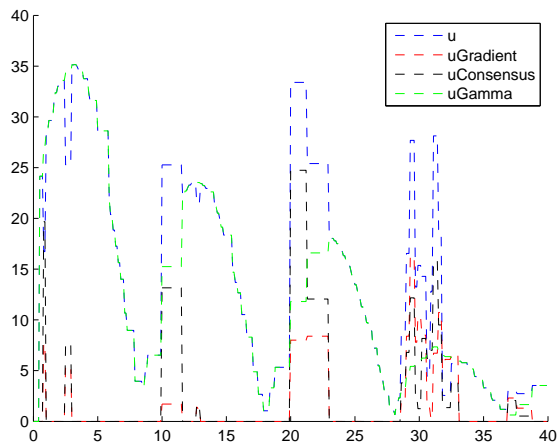


Fig. 52. $N=50$, $m=2$, Control Value of One Node over Time, $v_{max} = 100m/s$, $a_{max} = 100m/s^2$, Delayed, Asynchronous

IV. FUTURE WORK

This work presented simulations of the effects that constraints that would be seen in real-world implementations of distributed control systems. However, our understanding of real-world distributed control systems would be incomplete without understanding the effect of failures on such systems. Computers and communication channels failures are captured by failure models from distributed computing such as crashes, omissions, and byzantine behavior, and their effect on computing systems have been well studied in the literature. In fact, the central theme in the distributed computing research is understanding the power and limitations of computing systems under different types of failures. Results in this area provide algorithms for solving canonical problems such as consensus, leader election, and clock synchronization in the presence of certain types of failures, and also establish lower bounds about impossibility of solving those problems with certain resource constraints. Distributed control systems consist not only of computers, but also the physical world which they interact with through sensors and actuators. Thus, to understand the power and limitations of real-world distributed control systems, we are initiating the study of such systems in the face of computer, communications, sensor, and actuator—failures. This will require new failure models, as sensors and actuators require completely new failure models, whereas crash, slow, and byzantine failure mitigation must be modified to ensure properties in the physical world. In addition, we need to consider new failure models for computers, such as timing failures. All of these failures now have physical manifestations, directly challenging safety of the control system.

In distributed computing, failure detection is often very hard, for example, one cannot tell differentiate between a slow and a crashed computer in an asynchronous system. In contrast, failure detection is often easy for actuators, for instance, if an aileron on an airplane is stuck-at a value, a sensor as simple as a potentiometer could detect that it is not responding to control action as it is not changing position. Timing failures can be analyzed offline through worst-case execution time (WCET) analysis, and also can be detected by runtime environment, such as in [13]. The prevalence of these classes of failures suggests new fault-tolerance strategies for distributed control systems. The overall goal is to combine fault detection with the model for physical manifestation of failure to avoid bad states.

Toward this goal, we are studying the hybrid system modeled as hybrid input/output automata (HIOA) [14]. The HIOA of the physical world and flocking algorithm are shown in the hybrid input/output automata language [15] in Figure 54 and Figure 55, respectively.

Informally, the system consists of N agents starting at arbitrary locations on the real line, where $N \in \mathbb{N}, N >$

```

automaton PhysicalWorld( $d : \text{Real}^+, r_{comm} : \text{Real}^+, r_{init} : \text{Real}^+$ )
  where  $d < \Delta$ 
  signature
    input  $\text{send}_i(x, v, u : \text{Real})$ 
    output  $\text{recv}_{ij}(x, v, u : \text{Real})$ 

  variables
    output  $x_i, x_g, v_i : \text{Real} \forall i \in [N];$ 
    input  $u_i : \text{Real} \forall i \in [N];$ 
    internal  $\text{Channel}_{ij} : \text{Queue}[\langle \text{msg} : \text{Real}^3, dl : \text{Real}^+ \rangle] := \text{empty};$ 
     $\text{now} : \text{Real} := 0;$ 
     $\text{nbrs} : [N] \mapsto 2^{[N]};$ 
    initially  $|x_i - x_j| \geq r_{init} \forall i, j \in [N], i \neq j;$ 
     $x_g >> \max_{i \in N} x_i;$ 

  transitions
    input  $\text{send}_i(x, v, u)$ 
    eff  $\text{Channel}_{ij} := \text{append}(\text{Channel}_{ij}, \langle \langle x, v, u \rangle, \text{now} + \Delta_{\text{delay}} \rangle);$ 
     $\forall j : |x_i - x_j| \leq r_{comm};$ 
     $\text{nbrs}(i) = \text{nbrs}(i) \cup \{j\};$ 
     $\forall j : |x_i - x_j| \leq r_{comm};$ 

    output  $\text{recv}_{ij}(x, v, u)$ 
    pre  $\exists t \in \text{Real} : \langle x, v, u, t \rangle \in \text{Channel}_{ij}$ 
    eff  $\text{Channel}_{ij} = \text{Channel}_{ij} - \{ \langle x, v, u, t \rangle \};$ 

  trajectories
    trajdef hold
      evolve  $\forall i \in [N];$ 
       $d(x_i) = v_i;$ 
       $d(v_i) = u_i;$ 
       $d(\text{now}) = 1;$ 
    stop when  $\exists i, j \exists m, t : \langle x, v, u, t \rangle \in \text{Channel}_{ij} \wedge t = \text{now};$ 

```

Fig. 54. HIOA Model Physical World

1 with the goal of (a) reaching a fixed waypoint $x_g \in \mathbb{R}$, and (b) forming a flock or an equispaced formation on the way to x^* . The algorithm we shall study also works when a sequence of waypoints are presented to the agents, but for simplicity of presentation, we fix x_g .

The transition send_i occurs at every time $\mathbf{x.now} = \text{next}_i$ and adds a message containing physical state measurements of Agent _{i} to a *Queue* in PhysicalWorld for each of Agent _{i} 's communication neighbors, to be delivered before $\mathbf{x.now} = d$, such that the state measurements are available for computation at $\mathbf{x.now} = \mathbf{x.next}_i$. Also, the control for Agent _{i} is set at this transition, based on the measurement values at the time $\mathbf{x.now} - \Delta$. The transition recv_{ij} removes a message containing physical state measurements of Agent _{j} from a *Queue* in PhysicalWorld which is for Agent _{i} and adds it to a *buffer*. Thus, each Agent _{i} receives knowledge of all nearby neighbors in time.

This state knowledge of neighbors is stored in a *buffer* which maps from the set of indices of nodes, $[N]$ to $(\mathbb{R} \cup \{\perp\})^3$. That is, if an Agent _{i} has not received a message from Agent _{j} yet, then $j \notin \text{nbrs}_i$, and thus the mapping with j will yield triple of $\{\perp\}$ s. Each of the functions \hat{x} , \hat{v} , and \hat{u} maps from the set of neighbors of Agent _{i} , nbrs_i to triples of physical state variables in R . This achieves the same result as accessing the *buffer*, we should clarify this, as it should be the case that $\hat{x}(j) = \text{buffer}(j) = x_j$ from time $\mathbf{x.next}_i - \Delta$. We are using the *buffer* behind the scenes to actually store the message values, and then just use the functions \hat{x} , \hat{v} , and \hat{u} as a convenient notation.

automaton $\text{Agent}_i(\Delta : \text{Real}, i : [N])$ where $\Delta > 0$

signature
input $\text{recv}_{ij}(x, v, u : \text{Real})$
output $\text{send}_i(x, v, u : \text{Real})$

variables
input $x_i, x_g, v_i : \text{Real}$;
output $u_i : \text{Real} := 0$;
internal $\text{nbrs}_i \subseteq [N]$;
 $\hat{x}, \hat{v}, \hat{u} : \text{nbrs}_i \mapsto \text{Real}$;
 $\text{now}_i : \text{Real} := 0$;
 $\text{next}_i : \text{Real} := \Delta$;
 $\text{buffer} : [N] \mapsto (\text{Real} \cup \{\perp\})^3$;

transitions
input $\text{recv}_{ij}(x, v, u)$
eff $\text{buffer}[j] = \langle x, v, u \rangle$;
 $\text{nbrs}_i = \text{nbrs}_i \cup \{j\}$;
 $\hat{x}[j] = x$;
 $\hat{v}[j] = v$;
 $\hat{u}[j] = u$;

output $\text{send}_i(x, v, u)$
pre $\text{now}_i = \text{next}_i \wedge x = x_i \wedge v = v_i \wedge u = u_i$;
eff $\text{next}_i = \text{next}_i + \Delta$;
 $u_i := \text{ctrl}(i, x_g, x_i, v_i, u_i, \hat{x}, \hat{v}, \hat{u}, \text{nbrs}_i)$;
 $\text{nbrs}_i = \{\}$;

trajectories
trajdef hold
evolve $d(\text{now}_i) = 1$;
stop when $\text{now}_i = \text{next}_i$;

Fig. 55. HIOA Model of Agent_i

The control, $\text{ctrl}(i, x_g, x_i, v_i, u_i, \hat{x}, \hat{v}, \hat{u}, \text{nbrs}_i)$, is still being defined. Let \mathcal{A} be the HIOA obtained by composing $\text{PhysicalWorld} \parallel \text{Agent}_1 \parallel \dots \parallel \text{Agent}_N$. We denote a state of \mathcal{A} by \mathbf{x} and individual state components by $\mathbf{x}.x_i$, $\mathbf{x}.next_i$, etc.

We would like to show that the *safety property*, that the distance between each node i and j is at least r_{safety} is satisfied. Assume that the network delay between nodes is bounded, such that a message sent by node i is received by all its communication neighbors within time d . This assumption immediately gives that all recv_{ij} and recv_{ji} actions will be correct, in that the necessary state information is delivered before the next period.

Invariant IV.1. $\mathcal{I}_{\text{safety}}(S) =$

$$\left\{ \begin{array}{l} \text{if } (\mathbf{x}.now = \mathbf{x}.next_i) \wedge \neg \mathbf{x}.Send \Rightarrow \\ \forall i (\mathbf{x}.x_i - \mathbf{x}.x_{i-1}) + \Delta (\mathbf{x}.v_i - \mathbf{x}.v_{i-1}) + \frac{1}{2} a_{\text{max}} \Delta^2 \geq \\ r_{\text{safety}} \\ \text{if } (\mathbf{x}.now \neq \mathbf{x}.next_i) \vee (\mathbf{x}.now = \mathbf{x}.next_i \wedge Send) \Rightarrow \\ \forall i (\mathbf{x}.x_i - \mathbf{x}.x_{i-1}) + t_{\text{Rem}} (\mathbf{x}.v_i - \mathbf{x}.v_{i-1}) + \frac{1}{2} a_{\text{max}} t_{\text{Rem}}^2 \geq \\ r_{\text{safety}} \end{array} \right.$$

where $t_{\text{Rem}} \equiv (\mathbf{x}.next_i - \mathbf{x}.now)$.

We are working on a proof of this presently and eventually would like to consider the same proof with faults also in the system.

V. CONCLUSIONS

This paper presented simulation results arising from the implementation of the flocking problem formalized in Olfati-Saber's paper [3]. Without constraints, the system reached the goal state and formed a flock (see Figure 23). With only velocity constraints, the system easily reached the goal state and formed a flock, as the actuator magnitude easily compensated for any velocity and position problems, although due to the limitation in velocity, it would take a very long time for the system to globally achieve this result (see Figure 28). Not surprisingly, in the face of actuator constraints, it was not always possible to achieve either of the objectives, that of forming a flock and reaching the goal, as the saturated control value was not enough to overcome the initial velocities, so the system actually globally diverges (see Figure 32). When considering delay with reasonable state and actuator constraints, the system achieved the desired goals as well (see Figure 40). With equal actuator and velocity constraints, the system achieved the desired goals (see Figure 47). However, when considering both delay and asynchronous control updates with reasonable actuator and state constraints, the system did not globally achieve the objectives (see Figure 50), although subsets of it (see Figure 51).

REFERENCES

- [1] W. M. Spears, D. F. Spears, J. C. Hamann, and R. Heil, "Distributed, physics-based control of swarms of vehicles," *Auton. Robots*, vol. 17, no. 2-3, pp. 137-162, 2004.
- [2] D. M. Stipanovic, G. Inalhan, R. Teo, and C. J. Tomlin, "Decentralized overlapping control of a formation of unmanned aerial vehicles," *Automatica*, vol. 40, no. 8, pp. 1285 - 1296, 2004.
- [3] R. Olfati-Saber, "Flocking for multi-agent dynamic systems: algorithms and theory," *Automatic Control, IEEE Transactions on*, vol. 51, no. 3, pp. 401-420, March 2006.
- [4] J. Fax and R. Murray, "Information flow and cooperative control of vehicle formations," *Automatic Control, IEEE Transactions on*, vol. 49, no. 9, pp. 1465-1476, Sept. 2004.
- [5] A. Ryan, M. Zennaro, A. Howell, R. Sengupta, and J. Hedrick, "An overview of emerging results in cooperative uav control," vol. 1, Dec. 2004, pp. 602-607 Vol.1.
- [6] E. Shaw, "Fish in schools," *Natural History*, vol. 84, no. 8, pp. 40-45, 1975.
- [7] B. L. Partridge, "The chorus-line hypothesis of maneuver in avian flocks," *Nature*, vol. 309, pp. 344-345, 1984.
- [8] T. Vicsek, A. Czirók, E. Ben-Jacob, I. Cohen, and O. Shochet, "Novel type of phase transition in a system of self-driven particles," *Phys. Rev. Lett.*, vol. 75, no. 6, pp. 1226-1229, Aug 1995.
- [9] A. Okubo, "Dynamical aspects of animal grouping: Swarms, schools, flocks, and herds," *Adv. Biophys.*, vol. 22, pp. 1-94, 1986.
- [10] C. W. Reynolds, "Flocks, herds and schools: A distributed behavioral model," in *SIGGRAPH '87: Proceedings of the 14th annual conference on Computer graphics and interactive techniques*. New York, NY, USA: ACM, 1987, pp. 25-34.
- [11] J. E. Jones, "On the determination of molecular fields. ii. from the equation of state of a gas," *Proceedings of the Royal Society of London. Series A, Containing Papers of a Mathematical and Physical Character*, vol. 106, no. 738, pp. 463-477, 1924. [Online]. Available: <http://www.jstor.org/stable/94265>
- [12] D. Liberzon, "Quantization, time delays, and nonlinear stabilization," *IEEE Trans. Autom. Control*, vol. 51, no. 7, pp. 1190-1195, Jul. 2006.

- [13] T. A. Henzinger, B. Horowitz, and C. M. Kirsch, "Giotto: A time-triggered language for embedded programming," in *Proceedings of the IEEE*. Springer-Verlag, 2001, pp. 166–184.
- [14] N. Lynch, R. Segala, and F. Vaandrager, "Hybrid i/o automata," *Inf. Comput.*, vol. 185, no. 1, pp. 105–157, 2003.
- [15] S. Mitra, "HIOA - a specification language for hybrid Input/Output automata," Master's thesis, Department of Computer Science and Automation, IISc, Indian Institute of Science, Bangalore, 2001. [Online]. Available: <http://theory.lcs.mit.edu/~mitras/research/masterthesis.ps.gz>